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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Co	omplete if Known	
Application Number	09/817,360	
Filing Date	March 20, 2001	
First Named Inventor	GERMAN, MICHAEL S.	
Art Unit	1635	
Examiner Name	WHITEMAN, BRIAN A.	
Attorney Docket Number	UCSF-129CIP	

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Examiner Initials'	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
PC		US- 2002/0015696	Feb. 7, 2002	GERMAN	
1		US- 2003/0082810	May 1, 2003	SERUP	
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	FOREIGN PATENT DOCUMENTS							
Examiner Initials'	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>6</sup> (if known)	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	7⁵		
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1.4	Date	3/14/60	
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			U.S. PATENT	DOCUMENTS	
Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant
Initials'	No.1	Number-Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Figures Appear
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Initials'	nitials' No. <sup>1</sup> Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known) MM-DD-YYYY	Applicant of Cited Document	or Relevant Figures Appear			
BL		WO 00/59936	10-12-2000	GERMAN et al.		
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Examiner Signature	Daw d.	St	Date Considered	217100

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Under the Paperwork Reduction Act of 1995, no pers nd to a collection of information unless it contains a valid OMB control number Substitute for form 1449A/PTO Complet if Known Application Number INFORMATION DISCLOSURE 09/817,360 Filing Date STATEMENT BY APPLICANT March 20, 2001 First Named Inventor GERMAN, MICHAEL S Group Art Unit 1635 (use as many sheets as necessary) Examiner Name WHITEMAN, BRIAN Sheet of Attorney Docket Number UCSF-129CIP

Examiner Initials'	<b>~</b> :-	U.S. Patent Documents	U.S. PATENT DOCUM	IEM 19	T
	Cite No.¹	Number Kind Code (if known)	Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, columns, lines, Where Relevant Passages or Relevant
		5,695,995	Weintraub et al.	12-09-1997	Figures Appear

	T	OTHER PRIOR ART—NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s),	T <sup>2</sup>
DIN	/	Huang, H-P. et al., "Regulation of the Pancreatic Islet-Specific Gene BETA2 (neuroD) by Neurogenein 3. Molecular and Cellular Biology. May 200, 3292-3307 (May 2000).	
	, d	Malecki, M.T. et al., "Mutations in Neurod1 are Associated with the Development of Type 2 Diabetes Mellitus, Nature Genetics. November 1999, Vol. 23, pages 323-328 (November 1999).	
	/	Schmied, B.M. et al, "Differentiation of Islet Cells in Long-Term Culture. Pancreas. Vol. 20, No. 4, pages 337-347 (2000).	
Bh	/	Xu, W, et al., "Isolation and Characterization of the Mouse Beta2/neuroD Gene Promoter. Biochemical and Biophysical Research Communications. 1998, Vol. 247, pages 814-818. (1998)	

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'Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if

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Substitut	te for form 1449A/PTO				С	omplete if Known
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i	STATEMENT BY APPLICANT				First Named Inventor	GERMAN, MICHAEL S.
					Art Unit	1635
<u> </u>	(use as many sheets as n	000181	γ)		Examiner Name	WHITEMAN, BRIAN A.
Sheet	1	of	1		Attorney Docket Number	UCSF-129CIP

			U.S. PATENT D	OCUMENTS	
Examiner Initials'	Cite No.1	Document Number  Number-Kind Code <sup>2</sup> (if known)	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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Examiner Initials'	Cite No.1	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T*
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		OTHER PRIOR ART—N	ON PATENT LI	TERATURE DOCUMENT		<u> </u>
Examiner Initials*	Cite No.1	include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), whileher, city and/or country where cubilished.				
		SCHWITZGEBEL et al., EXPRESSION OF NEUROGENING REVEALS AN ISLET CELL PRECURSOR POPULATION IN THE PANCREAS, Development, 2000, 127: 3533-3542				
$\rho \sim$		VETERE et al., NEUROGENING ACID-DERIVED ENDODERM C				

Examiner Signature	Date Considered 7/29/01

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